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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/470,276

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KOLODNER

R

157/47483-C

EXAMINER

HM12/0206

NIXON PEABODY LLP
101 FEDERAL ST
BOSTON MA 02115

FREDMAN, J

ART UNIT

PAPER NUMBER

1655

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02/06/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.
09/470,276

Applicant(s)

Kolodner et al

Examiner

Jeffrey Fredman

Group Art Unit

1655



☒ Responsive to communication(s) filed on Jan 2, 2001

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire three month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

☒ Claim(s) 1-38 is/are pending in the application.

Of the above, claim(s) 1 and 13-38 is/are withdrawn from consideration.

☐ Claim(s) _____ is/are allowed.

☒ Claim(s) 2-12 is/are rejected.

☐ Claim(s) _____ is/are objected to.

☐ Claims _____ are subject to restriction or election requirement.

Application Papers

☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on _____ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) _____.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____

☒ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

☒ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 5

☐ Interview Summary, PTO-413

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

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DETAILED ACTION

Election/Restriction

1. Applicant's election with traverse of Group II, claims 2-12 and SEQ ID Nos: 1, 3-6, 27-30 and 53, in Paper No. 9 is acknowledged. The traversal is on the ground(s) that each of the remaining sequences are human sequences. This is not found persuasive because this is not a reason to overcome a restriction requirement. Presumably the intention is to argue that the sequences lack patentable distinctness, however, the fact that sequences from two different vertebrate species are included is evidence that the sequences are distinct. With regard to burden, it would represent a significant burden to search each additional sequence.

The requirement is still deemed proper and is therefore made FINAL.

General

2. Claims 2-12 utilize the transitional term "having". Because this term lacks any particular meaning in the patent literature, the examiner will interpret "having" as being equivalent in scope to the open term "comprising".

Claim Rejections - 35 USC § 112

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 5-11 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one

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skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The current claims are drawn to one of two broad genus, claims 5-9 being drawn to a genus comprising any "unique fragment" of SEQ ID NO: 1 and claim 10-11 being drawn to any primers which permit synthesis of a human mismatch repair gene, particularly hMSH5. This large genus is represented in the specification by only the named SEQ ID Nos. Thus, applicant has express possession of only one full length nucleic acid species and shows no fragments which are demonstrably unique and shows multiple primers in a genus which comprises hundreds of millions of different possibilities. The written description guidelines note regarding such genus/species situations that "Satisfactory disclosure of a ``representative number" depends on whether one of skill in the art would recognize that the applicant was in possession of the necessary common attributes or features of the elements possessed by the members of the genus in view of the species disclosed." (See: Federal Register: December 21, 1999 (Volume 64, Number 244), revised guidelines for written description.) Here, no common element or attributes of the sequences are disclosed, not even the presence of certain domains. No structural limitations or requirements which provide guidance on the identification of sequences which meet these functional limitations is provided.

Further, these claims encompass alternately spliced versions of the proteins, allelic variants including insertions and mutations, inactive precursor proteins which have a removable amino terminal end, and only specific nucleic acid sequences have been provided. No written description

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of alleles, of upstream or downstream regions containing additional sequence, or of alternative splice variants has been provided in the specification.

It is noted that in Fiers v. Sugano (25 USPQ2d, 1601), the Fed. Cir. concluded that

"...if inventor is unable to envision detailed chemical structure of DNA sequence coding for specific protein, as well as method of obtaining it, then conception is not achieved until reduction to practice has occurred, that is, until after gene has been isolated...conception of any chemical substance, requires definition of that substance other than by its functional utility."

In the instant application, only the nucleic acid and inherent amino acid sequence of the disclosed SEQ ID Nos are described. Also, in Vas-Cath Inc. v. Mahurkar (19 USPQ2d 1111, CAFC 1991), it was concluded that:

"...applicant must also convey, with reasonable clarity to those skilled in art, that applicant, as of filing date sought, was in possession of invention, with invention being, for purposes of "written description" inquiry, whatever is presently claimed."

In the application at the time of filing, there is no record or description which would demonstrate conception or written description of any nucleic acids acids which comprise "unique fragments" or which are modified by addition, insertion, deletion, substitution or inversion with the disclosed SEQ ID Nos.

Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 2-6, 8 and 9 are rejected under 35 U.S.C. 102(b) as being anticipated by Sargent et al (EMBO J. (1989) 8(8):2305-2312).

Query Match 89.5%; Score 2596; DB 68; Length 3998;
Best Local Similarity 97.1%; Pred. No. 0;
Matches 2675; Conservative 0; Mismatches 30; Indels 51; Gaps 1;

[illegible]

Qy	604	gagcctaaaagacacctgaaatcatattttttgccaaagtgtggatttttggctctggagataaac	663
Db	426	GAGCCTAAAAGACCTGAAATCATATTTTGGCCAAGTGTGGATTTTGGTCTGGAGATAAGC	485
Qy	664	aaacaacgcctcctttcttgaaaactactccttcacatccagacgcctatgactgccactgag	723
Db	486	AAACAACGCCTCCTTTCTGGAAACTACTCCTTCATCCCAGACGCCATGACTGCCACTGAG	545
Qy	724	aaaatcctcttctcctctctttccattattccctttgactgcctcctcaca-----	771
Db	546	AAAACTCTCTCTCTCTCTCCATTATTCCTTTGACTGCCTCCTCACACCCCCAGGAGAT	605
Qy	772	-----gttcgagcactttggagggctg	792
Db	606	TTAAGATTACCCCGATTCCACTGCTGATCCCCCTCCAGGTTTCAGAGCACTTGAGGGCTG	665
Qy	793	ctgaagtctctgggtcgaagaagaatcgggggttgaaactggaagactataatgtcagcgtc	852
Db	666	CTGAAGTCTCTGGGTCGAAGAAGAATCGGGGTTGAACTGGAAGACTATAATGTCAGCGTC	725
Qy	853	cccatcctgggctttaagaaatttatgttgactcatctggtgaacatagatcaagacact	912
Db	726	CCCATCTGGGCTTTAAGAAATTATGTTGACTCATCTGGTGAACATAGATCAAGACACT	785
Qy	913	tacagtgttctacagatttttaagagtgagtctcaccctcagtgtaaaagtggccagt	972
Db	786	TACAGTGTTCTACAGATTTTAAAGAGTGAGTCTCACCCCTCAGTGACAAAGTGGCCAGT	845
Qy	973	ggactgaaggaggggctcagcctctttggaatcctcaacagatgccactgtaagtgggga	1032
Db	846	GGACTGAAGGAGGGGCTCAGCCTCTTTGGAATCCTCAACAGATGCCACTGTAAGTGGGGA	905
Qy	1033	gagaagctgctcaggtctatggttcacacgtccgactcatgacctgggggagctcagttct	1092
Db	906	GAGAAGCTGCTCAGGCTATGGTTCACACGTCCGACTCATGACCTGGGGGAGCTCAGTTCT	965
Qy	1093	cgtctggaagtcattcagtttttctgctgcccagaatctggacatggctcagatgctg	1152
Db	966	CGTCTGGACGTCAATCAGTTTTTCTGTGCTGCCCGAGAATCTGGACATGGCTCAGATGGTG	1025
Qy	1153	catcggtcctgggtcacatcaagaacgtgcctttgattctgaaacgcacatgaagttgtcc	1212
Db	1026	CATCGGCTCCTGGGTCACATCAAGAACGTGCCTCTGATTCTGAAACGCATGAAGTTGTCC	1085
Qy	1213	cacaccaaggtcagcgactggcagggttctctacaagactgtgtacagtgccttgggcctg	1272
Db	1086	CACACCAAGGTCAGCGACTGGCAGGTTCTCTACAAGACTGTGTACAGTGCCCTGGGCCTG	1145
Qy	1273	agggatgctgcgcgtccctgcccagtcacatccagctcttctgggacattgcccagag	1332
Db	1146	AGGGATGCCTGCCGCTCCCTGCCGCACTCCATCCAGCTCTTCGGGACATTGCCCAAGAG	1205
Qy	1333	ttctctgatgacctgcacatcatcgccagcctcattgggaaagttagtgactttgagggc	1392
Db	1206	TTCTCTGATGACCTGCACCATATCGCCAGCCTCATTGGGAAAGTAGTGGACTTTGAGGGC	1265
Qy	1393	agccttgctgaaaatcgcttcacagtcctccccaacatagatcctgaaattgatgagaaa	1452
Db	1266	AGCCTTGCTGAAAATCGCTTCACAGTCTCCCAACATAGATCCTGAAATTGATGAGAAA	1325
Qy	1453	aagcgaagactgatgggacttcccagtttcccttactgaggttgcccgaaggagctggag	1512
Db	1326	AAGCGAAGACTGATGGGACTTCCAGTTTCCCTTACTGAGGTTGCCCGCAAGGAGCTGGAG	1385

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Qy 1513 aatctggactcccgatttccttcacatgcagtgtcatctacatccctctgattggcttccct 1572
|||||
Db 1386 AATCTGGACTCCCGTATTCCTTCATGCAGTGTCTACATCCCTCTGATTGGCTTCCTT 1445

Qy 1573 ctttctattccccgcctgccttcacatggttagaggccagtgactttgagattaatggactg 1632
|||||
Db 1446 CTTTCTATTCCCGCCTGCCTTCCATGGTAGAGGCCAGTGACTTTGAGATTAATGGACTG 1505

Qy 1633 gacttcatgtttctctcagaggagaagctgcactatcgtagtgcccgaaaccaaggagctg 1692
|||||
Db 1506 GACTTCATGTTTCTCTCAGAGGAGAAGCTGCACTATCGTAGTGCCCGAACCAAGGAGCTG 1565

Qy 1693 gatgcattgtctgggggacctgcactgcagagatccgggaccaggagagcgtgctgatgtac 1752
|||||
Db 1566 GATGCATTGTCTGGGGGACCTGCACTGCGAGATCCGGGACCAGGAGACGCTGCTGATGTAC 1625

Qy 1753 cagctacagtgccaggtgctggcagcagcagctgtcttaacccgagtattggaccttgcc 1812
|||||
Db 1626 CAGCTACAGTGCCAGGTGCTGGCACGAGCAGCTGTCTTAACCCGAGTATTGGACCTTGCC 1685

Qy 1813 tcccgccctggaagctcctgctggtctcttgccagtgctgccccgggactatggtactcaagg 1872
|||||
Db 1686 TCCCGCCTGGACGTCCTGCTGGCTCTTGCCAGTGCTGCCCGGGACTATGGCTACTCAAGG 1745

Qy 1873 ccgcgttactccccacaagtccttggggtacgaatccagaatggcagacatcctctgatg 1932
|||||
Db 1746 CCGCGTTACTCCCCACAAGTCCTTGGGGTACGAATCCAGAATGGCAGACATCCTCTGATG 1805

Qy 1933 gaactctgtgccccgaacctttgtgcccactccacagaatgtggtgggacaaaggagg 1992
|||||
Db 1806 GAACTCTGTGCCCGAACCTTTGTGCCCACTCCACAGAATGTGGTGGGGACAAAGGGAGG 1865

Qy 1993 gtcaaagtcactggaaccaactcatcagggaagagcatataacctcaaacaggtaggc 2052
|||||
Db 1866 GTCAAAGTCATCACTGGACCCAACTCATCAGGGAAGAGCATATACCTCAAACAGGTAGGC 1925

Qy 2053 ttgatcacattcatggccctggttaggcagctttgtgccagcagaggaggccgaaattggg 2112
|||||
Db 1926 TTGATCACATTTCATGGCCCTGGTAGGCAGCTTTGTGCCAGCAGAGGAGGCCGAAATTGGG 1985

Qy 2113 gcagtagacgccattctcacacgaattcatagctgcgaatccatctcccttggcctctcc 2172
|||||
Db 1986 GCAGTAGACGCCATCTTCACACGAATTCATAGCTGCGAATCCATCTCCCTTGGCCTCTCC 2045

Qy 2173 accttcatgatcgacctcaaccaggtggcgaaagcagtgaaacaatgccactgcacagtcg 2232
|||||
Db 2046 ACCTTCATGATCGACCTCAACCAGGTGGCGAAAGCAGTGAACAATGCCACTGCACAGTCG 2105

Qy 2233 ctggtccttattgatgaatttggaagggaaccaacacggtggatgggctcgcgcttctg 2292
|||||
Db 2106 CTGGTCCTTATTGATGAATTTGGAAGGGAACCAACACGGTGGATGGGCTCGCGCTTCTG 2165

Qy 2293 gccgctgtgctccgacactggctggcagctggaccacatgccccacatctttgtggcc 2352
|||||
Db 2166 GCCGCTGTGCTCCGACACTGGCTGGCAGTGGACCCACATGCCCCACAGTCTTTGTGGCC 2225

Qy 2353 accaactttctgagccttgttcagctacaactgctgccacaagggcccctggtgcagtat 2412
|||||
Db 2226 ACCAACTTCTGAGCCTTGTTGAGCTACAACGCTGCCACAAGGGCCCCTGGTGCACTAT 2285

Qy 2413 ttgacctgagagacctgtgaggatggcaacgatcttgtcttctctatcaggtttgcaa 2472
|||||
Db 2286 TTGACCATGGAGACCTGTGAGGATGGCAACGATCTTGCTCTTCTATCAGGTTTGCAG 2345

Qy 2473 ggtgttgcaaggccagccatgcctccacacagctgccaggtgggcttctgacaag 2532

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      |||
Db 2346 GGTGTTGCGAAGGCCAGCCATGCCTCCACACAGCTGCCAGGCTGGGCTTCCTGACAAG 2405
      |||
QY 2533 cttgtggctcgtggcaaggaggtctcagatttgatccgcagtggaaccatcaagcct 2592
      |||
Db 2406 CTTGTGGCTCGTGGCAAGGAGGTCTCAGACTTGATCCGCAGTGGAACCCATCAAGCCT 2465
      |||
QY 2593 gtcaaggatttgctaaagaagaaccaaattggaaaattgccagacattagtgataagttt 2652
      |||
Db 2466 GTCAAGGATTGCTAAAGAAGAACCAAATGGAAAATGCCAGACATTAGTGGATAAGTTT 2525
      |||
QY 2653 atgaaactggatttgaagatcctaacctggacttgaacgttttcatgagccaggaagtg 2712
      |||
Db 2526 ATGAAACTGGATTGGAAGATCCTAACCTGGACTTGAACGTTTTTCATGAGCCAGGAAGTG 2585
      |||
QY 2713 ctgcctgctgccaccagcatcctctgagagtccttccagtgctcctcccagcctcctgag 2772
      |||
Db 2586 CTGCCTGCTGCCACCAGCATCCTCTGAGAGTCCTTCCAGTGTCTCCCCAGCCTCCTGAG 2645
      |||
QY 2773 actccggtgggctgccatgcctctttgtttccttatctccctcagacgcagagttttta 2832
      |||
Db 2646 ACTCCGGTGGGCTGCCATGCCCTCTTTGTTTCCTTATCTCCCTCAGACGCAGAGTTTTTA 2705
      |||
QY 2833 gtttctctagaaattttgtttcatattaggaataaaagtttattttgaagaaaaaaa 2888
      |||
Db 2706 GTTTCCTAGAAATTTGTTTCATATTAGGAATAAAGTTTATTTTGAAGAAAGATA 2761

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Therefore, it clearly appears that the Sargent cosmid vectors anticipate the current claims as inherently comprising the MSH5 gene sequence.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor

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and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

8. Claims 10-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Albertella (Genomics (1996) 36:240-251) in view of Stratagene Catalog (1988) p. 39.

Albertella teaches a number of primers which function to amplify the "G7" gene region (page 241, column 2, subheading "reverse-transcription-PCR" to page 242, column 1). As discussed above, "G7" is inherently found to be the MSH5 gene.

Albertella does not teach placement of these reagents into a kit format, nor the specific SEQ ID Nos: 3-50.

Stratagene catalog teaches a motivation to combine reagents into kit format (page 39).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to combine the primers of Albertella into a kit format as discussed by Stratagene catalog since the Stratagene catalog teaches a motivation for combining reagents of use in an assay into a kit, "Each kit provides two services: 1) a variety of different reagents have been assembled and pre-mixed specifically for a defined set of experiments. Thus one need not purchase gram quantities of 10 different reagents, each of which is needed in only microgram amounts, when beginning a series of experiments. When one considers all of the unused chemicals that typically accumulate in weighing rooms, desiccators, and freezers, one quickly realizes that it is actually far more expensive for a small number of users to prepare most buffer

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solutions from the basic reagents. Stratagene provides only the quantities you will actually need, premixed and tested. In actuality, the kit format saves money and resources for everyone by dramatically reducing waste. 2) The other service provided in a kit is quality control" (page 39, column 1).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to identify functionally equivalent primers and probes selected from the sequences disclosed by Albertella for detection of the "G7" gene.

In the recent court decision *In Re Deuel* 34 USPQ 2d 1210 (Fed. Cir. 1995), the court determined that the existence of a general method of identifying a specific DNA does not make the the specific DNA obvious. Regarding structural or functional homologs, however, the court stated

"Normally, a *prima facie* case of obviousness is based upon structural similarity, i.e., an established structural relationship between a prior art compound and the claimed compound. Structural relationships may provide the requisite motivation or suggestion to modify known compounds to obtain new compounds. For example, a prior art compound may suggest its homologs because homologs often have similar properties and therefore chemists of ordinary skill would ordinarily contemplate making them to try to obtain compounds with improved properties (see page 9, paragraph 4 of attached ref)."

Since the claimed primers simply represent structural homologs, which are suggested by the prior art as useful for primers and probes, and concerning which a biochemist of ordinary skill would

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attempt to obtain alternate compounds with improved properties, the claimed primers and probes are *prima facie* obvious over the cited references in the absence of secondary considerations.

9. Claims 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Sargent et al (EMBO J. (1989) 8(8):2305-2312) in view of Beach et al (U.S. Patent 6,025,192).

Sargent teaches cosmid vectors which are transformed into E. Coli host cells (page 2311, column 2) which cosmid vectors comprise a double stranded nucleic acid (necessarily including sense and antisense strands) that includes the "G7" gene (page 2306, figure 1). Within figure 1, several cosmid vectors overlap the "G7" gene including F9N, F12M, FMC, FMEa nd EL3. The "G7" gene is inherently found to be the MSH5 gene claimed. With regard to points of similiarity, the "G7" gene is located on chromosome 6p21.3 as is the MSH5 gene, and above is listed the partial sequence comparison of MSH5 and "G7", where MSH5 is the Qy sequence and "G7" is the database sequence.

Sargent does not teach placement of the G7 gene into a retroviral vector.

Beach teaches placement of genes into retroviral vectors for the elucidation of mammalian gene function (abstract).

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to put the unknown G7 gene of Sargent into the retroviral vector of Beach since Beach states "The present invention relates to methods and compositions for the elucidation of mammalian gene function (abstract)". An ordinary practitioner would have been motivated to use the retroviral vector of Beach to identify the function of G7.

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Conclusion

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeff Fredman, Ph.D. whose telephone number is (703) 308-6568.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, W. Gary Jones, can be reached on (703) 308-1152.

Any inquiry of a general nature or relating to the status of this application should be directed to the Technology Center receptionist whose telephone number is (703) 308-0196.

Papers related to this application may be submitted to Technology Center 1600 by facsimile transmission via the P.T.O. Fax Center located in Crystal Mall 1. The CM1 Fax Center numbers for Technology Center 1600 are either (703) 305-3014 or (703) 308-4242. Please note that the faxing of such papers must conform with the Notice to Comply published in the Official Gazette, 1096 OG 30 (November 15, 1989).



**Jeffrey Fredman
Patent Examiner
Art Unit 1655**

February 6, 2001